Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 5308-162	Serial No. To Be Assigned
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)	Applicants: Robert C. Glass et al.	
	Filing Date: To Be Assigned	GAU:

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Examiner Initials		Document No.	Date	Name	Class	Subclass	Filing Date if Appropriate
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	2	6,169,294	01/02/01	Biing-Jye et al.	257	79	
	3	6,133,589	10/17/00	Krames et al.	257	103	
	4	6,121,637	09/19/00	Isokawa et al.	257	99	
	5	6,121,636	09/19/00	Morita et al.	257	99	
	6	6,097,041	08/01/00	Lin et al.	257	98	
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	9	5,952,681	09/14/99	Chen	257	89	
	10	5,917,202	06/29/99	Haitz et al.	257	98	
	11	5,912,477	06/15/99	Negley	257	95	
	12	5,779,924	07/14/98	Krames et al.	216	24	
	13	5,767,581	06/16/98	Nakamura et al.	257	749	
	14	5,718,760	02/17/98	Carter et al.	117	84	
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	28	9-82587	03/28/97	Japan			X (Abstract)
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	32	Craford, *Outlook for AlInGaP Technology*, Presentation, Strategies in Light 2000
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	34	Lambrecht et al., *Band Structure Interpretation of the Optical Transitions Between Low-Lying Conduction Bands in n-Type Doped SiC Polytypes*, Materials Science Forum, Vols. 264-268, 1998, pp. 271-274
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Examiner: J Jackson Date Considered: 9/03

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 5308-162	Serial No. 10/057,821
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)	Applicants: David B. Slater, Jr. et al.	
	Filing Date: 01/25/2002	GAU: 2815

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Examiner Initials		Document No.	Date	Name	Class	Subclass	Filing Date if Appropriate
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	2.	6,455,878	09/24/02	Bhat et al.	257	99	
	3.	6,187,606	02/13/01	Edmond et al.	438	46	
	4.	6,120,600	09/19/00	Edmond et al.	117	89	
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	6.	5,631,190	05/20/97	Negley	438	33	
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	11.	U.S. Application Serial No. 60,411,980, filed 09/19/02, *Phosphor-Coated Light Emitting Diodes Including Tapered Sidewalls, and Fabrication Methods*
	12.	U.S. Application Serial No. 10/003,331, filed 10/31/01, *Low Temperature Formation of Backside Ohmic Contacts for Vertical Devices*
	13.	U.S. Application Serial No. 60/294,445, filed May 30, 2001, *Multi-Quantum Well Light Emitting Diode Structure*
	14.	U.S. Application Serial No. 60/294,378, filed May 30, 2001, *Light Emitting Diode Structure With Multi-Quantum Well and Superlattice Structure*
	15.	U.S. Application Serial No. 60/294,308, filed May 30, 2001, *Light Emitting Diode Structure With Superlattice Structure*
	16.	U.S. Application Serial No. 09/787,189, filed 03/15/01, *Low Temperature Formation of Backside Ohmic Contacts for Vertical Devices*

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Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 5308-162	Serial No. 10/057,821
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)	Applicants: Slater, Jr. et al.	
	Filing Date: January 25, 2002	GAU: 2812

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	1	6,229,160	05/08/01	Krames et al.	257	94	
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	14	09-223846	08/26/97	Japan			X (Abstract)
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	16	07-235729	09/05/95	Japan			X (Abstract)
	17	06-232510	08/19/94	Japan			X (Abstract)

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Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office	~~Attorney~~ Docket No. 5308-162	Serial No. 10/057,821
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	1	6,014,719	01/18/00	Kish, Jr. et al.	438	29	
	2	5,779,924	07/14/98	Krames et al.	216	24	
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		Document Number	Date	Country	Class	Subclass	Translation Yes / No
	4	61110476	05/28/86	Japan			X (Abstract)
	5	WO 00/33365	6/8/00	PCT			

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	6	Invitation to Pay Additional Fees, Annex to Form PCT/ISA/206, Communication Relating to the Results of the Partial International Search, PCT/US02/02849, August 26, 2002

Examiner: _____ Date Considered: 9/03

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Substitute form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet | 1 | of | 1

Complet if Known	
Application Number	10/057,821
Filing Date	01/25/2002
First Named Inventor	David B. Slater, Jr.
Group Art Unit	2812
Examiner Name	Savitri Mulpuri
Attorney Docket Number	5308-162

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document: Office	Foreign Patent Document: Number	Foreign Patent Document: Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
	1	JP	11-191641		Matsushita Electron Corp.	07/13/1999		✓
	2	EP	0 051 172		Siemens Aktiengesellschaft	05/12/1982		

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Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	3	International Search Report, PCT/US02/02849, 12/02/2002	
	4	Mensz et al., *$In_xGa_{1-x}N/Al_yGa_{1-y}N$ Violet Light Emitting Diodes With Reflective p-Contacts for High Single Sided Light Extraction*, Electronics Letters, Vol. 33, No. 24, November 20, 1997, pp. 2066-2068	
	5	Honma et al., *Evaluation of Barrier Metals of Solder Bumps for Flip-Chip Interconnection*, Electronic Manufacturing Technology Symposium, 1995, Proceedings of 1995 Japan International, 18th IEEE/CPMT, December 4, 1995, pp. 113-116	
	6	Lee et al., *Bonding of InP Laser Diodes by Au-Sn Solder and Tungsten-Based Barrier Metallization Schemes*, Semiconductor Science and Technology, Vol. 9, No. 4, April 1994, pp. 379-386	

Examiner Signature		Date Considered	9/09

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